

UNIVERSITY OF CALIFORNIA HOW TO BLOW THE WHISTLE ON SUSPECTED IMPROPER ACTIVITIES

The University of California wants you to report improper activities, and will protect you from retaliation for whistleblowing



What You Can Report

Any activity by UC or a UC employee that violates a state or federal law or regulation (e.g., corruption, malfeasance, bribery, theft or misuse of government property, fraud, coercion, or conversion); or wastes money, or involves gross misconduct, gross incompetence, or gross inefficiency.

Where To Report

- Your supervisor (or another appropriate administrator within your unit), who will report it to:
 - *Human Resources Office*
 - *Academic Personnel Office*
 - *Internal Audit Office*
 - *Locally Designated Official*
- Directly to any of the offices below:
 - *UCB Audit & Advisory Services: 642-8292*
 - *UCB Academic Personnel: 642-5626*
 - *UCB Human Resources: 642-4576*
 - *Locally Designated Official: Assoc. Chancellor Linda Williams: 642-7516*
- University-wide Whistleblower Hotline:
1-800-403-4744 or universityofcalifornia.edu/hotline
(Our telephone & web hotline is independently operated to help ensure confidentiality.)

How To Report

- In writing or orally
 - With as much specific factual information possible (Report what you know, but don't investigate—leave that to the experts!)
 - Anonymously, if preferred
- Confidentiality will be maintained, to the extent possible*

Protection from Retaliation

If you believe you have been retaliated against for whistleblowing, you may file a complaint with your Human Resources or Academic Personnel Office, or your supervisor

For More Information

The University's Whistleblower and Whistleblower Protection policies, and additional information, can be found online at <http://ucwhistleblower.ucop.edu>

In addition to these procedures, you have the right to report improper activities directly to the State Auditor's whistleblower hotline, at 1-800-952-5665 (1-866-293-8729/TTY or 1-916-322-2603/FAX), or to the California Attorney General's hotline, at 1-800-952-5225.

A Message from the State Auditor:

CALL THE STATE AUDITOR'S WHISTLEBLOWER HOTLINE TO REPORT THE IMPROPER ACTS OF STATE AGENCIES OR EMPLOYEES
Blow The Whistle on State Government Fraud and Waste

WHAT WE INVESTIGATE:

- Illegal acts like theft, fraud, or conflicts of interest by state employees.
- Misuse or abuse of state property or time by state employees.
- Gross misconduct, incompetence, or inefficiency by state employees.

WE FOLLOW THROUGH WHEN OUR INVESTIGATION SUBSTANTIATES YOUR ALLEGATION

Although we have no enforcement power, we keep the ball rolling by reporting the results of investigations that substantiate improprieties to:

- The head of the employing agency
- The attorney general or other enforcement agencies, legislative committees, and any other authority with jurisdiction
- The general public, keeping identities confidential

STATE LAW GOES TO BAT FOR YOU

- It requires the Bureau of State Audits to shield your identity (except from law enforcement).
- It helps guard against intimidation, threats, or coercion by state employees that could interfere with your right to disclose improper government activities.
- It helps keep you safe from reprisal, retaliation, threats, or coercion for reporting such information.

IF YOU EXPERIENCE RETALIATION, SPEAK UP!

If you're a state employee, contact the State Personnel Board in writing at 801 Capitol Mall, MS53, Sacramento, CA 95814. For additional information, call (916) 653-1403.

The University of California (UC) has its own system. If you work for UC, check ucwhistleblower.ucop.edu for information on its current policy, or visit universityofcalifornia.edu/hotline to file a complaint.

REPORT WHAT YOU KNOW—Call 1-800-952-5665 (1-866-293-8729/TTY or 1-916-322-2603/FAX), or mail the information to: Investigations, Bureau of State Audits, 555 Capitol Mall, Suite 300, Sacramento, CA 95814.

Visit our web site at [bsa.ca.gov/hotline](https://www.bsa.ca.gov/hotline) for more information on filing complaints by phone or mail, or use our secure online Investigative Complaint Form at <https://www.bsa.ca.gov/contactus/complaint>

Remember! The Bureau of State Audits can only investigate state government improprieties. We do not investigate misconduct by federal or local governments or by private businesses or organizations.